

USA PATRIOT Act - List of RBS Entities

2018

Country	Entity	Address	Regulator
Australia	NatWest Markets N.V. Australia	Level 23 88 Phillip Street Sydney NSW 2000 Australia	Australian Prudential Regulation Authority (APRA) Australian Securities and Investments Commission (ASIC)
Australia	The Royal Bank of Scotland plc Australia	Level 23 88 Phillip Street Sydney NSW 2000 Australia	Australian Prudential Regulation Authority (APRA) Australian Securities and Investments Commission (ASIC)
Austria	The Royal Bank of Scotland plc, Filiale Wien	Kaerntner Ring 5-7 / 7, 1010 Vienna Austria	Financial Market Authority (FMA - Finanzmarktaufsichtsbehörde) Austrian National Bank (Nationalbank)
Belgium	The Royal Bank of Scotland plc , Belgium Branch	Rue de la Chancellerie 17 A 1000 Brussels Belgium	Financial Services and Markets Authority (Belgium) (FSMA) National Bank of Belgium
Czech Republic	The Royal Bank of Scotland plc, organizační složka	Jungmannova 745/24 Nové Město 111 21 Prague 1 Czech Republic	Czech National Bank Financial Analytical Unit of the Ministry of Finance
Denmark	The Royal Bank of Scotland plc, Danish Filial	Sundkrogsgade 9 P.O. Box 2570 Copenhagen DK-2100 Denmark	Financial Supervisory Authority (Denmark), (Finanstilsynet)
Finland	NatWest Bank plc (Finland)	Eteläesplanadi 12, 4th floor FI-00130 Helsinki Finland	Financial Supervisory Authority (Finland)
France	The Royal Bank of Scotland plc, Paris Branch	94 Boulevard Haussmann 75008 Paris France	Autorite de controle prudentiel et de résolution (ACPR) Banque De France Autorite des Marches Financiers (AMF)
France	National Westminster Bank plc (France)	32 rue de Monceau 75008 Paris France	Autorite de controle prudentiel et de résolution (ACPR) Banque De France Autorite des Marches Financiers (AMF)
Germany	The Royal Bank of Scotland plc. Niederlassung Frankfurt	Junghofstrasse 22, 60311 Frankfurt am Main Germany	Federal Financial Supervisory Authority (BaFin - Bundesanstalt für Finanzdienstleistungsaufsicht),
Germany	NatWest Bank plc (Germany)	Roßmarkt 10 60311 Frankfurt am Main Germany	Federal Financial Supervisory Authority (BaFin - Bundesanstalt für Finanzdienstleistungsaufsicht),

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Gibraltar	RBS International Ltd trading as NatWest	National Westminster House PO Box 707 57 Line Wall Road Gibraltar	Gibraltar Financial Services Ordinance 1989
Greece	The Royal Bank of Scotland plc Greek Branch	45, Akti Miaouli 185 10 Piraeus Athens Greece	Bank of Greece
Guernsey	RBS International Limited	Royal Bank Place 1 Gategny Esplanade St Peter Port Guernsey GY1 3GB	Guernsey Financial Services Commission (GFSC)
Hong Kong	The Royal Bank of Scotland plc, Hong Kong	7/F Lincoln House, Taikoo Place 979 King's Road Quarry Bay Hong Kong	Hong Kong Monetary Authority (HKMA) Securities and Futures Commission (Hong Kong) (SFC)
Hong Kong	NatWest Markets plc (Hong Kong)	LHT Tower 31 Queen's Road Unit 702 Central Hong Kong	Hong Kong Monetary Authority (HKMA) Securities and Futures Commission (Hong Kong) (SFC)
Hong Kong	NatWest Markets N.V. Hong Kong	7/F Lincoln House, Taikoo Place 979 King's Road Quarry Bay Hong Kong	Hong Kong Monetary Authority (HKMA) Securities and Futures Commission (Hong Kong) (SFC)
India	NatWest Markets N.V. Bengaluru	8th Floor, Canberra Block, UB City, 24 Vittal Mallya Road Bangalore 560 001 Karnataka India	Reserve Bank of India (RBI) Securities and Exchange Board of India (SEBI) Forward Markets Commission (India) (FMC) Insurance Regulatory and Development Authority (IRDA)
India	NatWest Markets N.V. Chennai	KRM Towers, No. 1, Harrington Road, Chetpet, Chennai - 600 031, Tamil Nadu India	Reserve Bank of India (RBI) Securities and Exchange Board of India (SEBI) Forward Markets Commission (India) (FMC) Insurance Regulatory and Development Authority (IRDA)
India	NatWest Markets N.V. Delhi	Hansalaya Building, 15 Barakhamba Road, New Delhi 110 001, India	Reserve Bank of India (RBI) Securities and Exchange Board of India (SEBI) Forward Markets Commission (India) (FMC) Insurance Regulatory and Development Authority (IRDA)

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India	NatWest Markets N.V. Gurgaon	Vatika First India Place, Tower B, Ground Floor, Block A Sushant Lok Phase I, Mehrauli Gurgaon Road, Gurgaon - 122 002 Haryana, India	Reserve Bank of India (RBI) Securities and Exchange Board of India (SEBI) Forward Markets Commission (India) (FMC) Insurance Regulatory and Development Authority (IRDA)
India	NatWest Markets N.V. Hyderabad	Stamford Park, Opp Dollar Shop, Door No 8-2-269/4/B, Road No 2, Banjara Hills, Hyderabad 500 034, India	Reserve Bank of India (RBI) Securities and Exchange Board of India (SEBI) Forward Markets Commission (India) (FMC) Insurance Regulatory and Development Authority (IRDA)
India	NatWest Markets N.V. Kolkata	Azimganj House, 7 Camac Street, Kolkata 700 017, West Bengal India	Reserve Bank of India (RBI) Securities and Exchange Board of India (SEBI) Forward Markets Commission (India) (FMC) Insurance Regulatory and Development Authority (IRDA)
India	NatWest Markets N.V. Mumbai	Brady House, 14 Veer Nariman Road, Fort, Mumbai - 400023 Maharashtra India	Reserve Bank of India (RBI) Securities and Exchange Board of India (SEBI) Forward Markets Commission (India) (FMC) Insurance Regulatory and Development Authority (IRDA)
India	NatWest Markets N.V. NOIDA	Ocean Heights, K - 4 Sector 18, NOIDA 201 301 Uttar Pradesh India	Reserve Bank of India (RBI) Securities and Exchange Board of India (SEBI) Forward Markets Commission (India) (FMC) Insurance Regulatory and Development Authority (IRDA)
India	NatWest Markets N.V. Pune	327 M.G. Road, Pune Camp, Pune 411 001 Maharashtra India	Reserve Bank of India (RBI) Securities and Exchange Board of India (SEBI) Forward Markets Commission (India) (FMC) Insurance Regulatory and Development Authority (IRDA)
India	NatWest Markets N.V. Vadodara	7 Alkapuri, R.C. Dutt Road, Vadodara 390 007, Gujarat India	Reserve Bank of India (RBI) Securities and Exchange Board of India (SEBI) Forward Markets Commission (India) (FMC) Insurance Regulatory and Development Authority (IRDA)
Indonesia	NatWest Markets NV, Jakarta Branch	Indonesia Stock Exchange Building Tower II 11th Floor Jl. Jendral Sudirman Kav 52-53 Jakarta Indonesia	Bank of Indonesia Financial Services Authority

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Ireland	The Royal Bank of Scotland plc, Dublin	Ulster Bank Financial Centre George's Quay Dublin 2 Ireland	Central Bank of Ireland
Ireland	Ulster Bank Ireland Designated Activity Company (DAC)	Ulster Bank Group Centre George's Quay Dublin 2 Ireland	Central Bank of Ireland
Ireland	First Active Insurances Services Ltd	Ulster Bank Group Centre George's Quay Dublin 2 Ireland	Central Bank of Ireland
Ireland	RBS Asset Management (Dublin) Limited	One Dockland Central Guild Street IFSC Dublin 1	Central Bank of Ireland
Isle of Man	Isle of Man Bank Limited	2 Athol Street Douglas Isle of Man IM99 1AN	Isle of Man Financial Supervision Commission (FSC)
Isle of Man	RBS International Limited	Royal Bank House 2 Victoria Street Douglas Isle of Man IM99 1NJ	Isle of Man Financial Supervision Commission (FSC)
Italy	The Royal Bank of Scotland plc, Succursale di Milano	Via Filippo Turati 9 20121 Milan Italy	Banca d'Italia Consob Ufficio Italiano Cambi (U.I.C.)
Italy	National Westminster Bank plc (Italy)	Via Zenale 9 20123 Milan Italy	Banca d'Italia Consob Ufficio Italiano Cambi (U.I.C.)
Japan	NatWest Markets Securities Japan Limited, Tokyo Branch	Shin-Marunouchi Center Building, 1-6-2 Marunouchi Chiyoda-kuTokyo Japan	Japan Financial Services Agency (JFSA)
Japan	The Royal Bank of Scotland plc Tokyo	Shin-Marunouchi Center Building, 1-6-2 Marunouchi Chiyoda-kuTokyo Japan	Japan Financial Services Agency (JFSA) Bank of Japan

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Jersey	NatWest Offshore	16 Library Place St Helier Jersey JE4 8NH	Jersey Financial Services Commission
Jersey	RBS International Limited	71 Bath Street St Helier Jersey JE4 8PJ	Jersey Financial Services Commission
Jersey	RBS International Ltd trading as NatWest	71 Bath Street St Helier Jersey JE4 8PJ	Jersey Financial Services Commission
Luxembourg	The Royal Bank of Scotland plc Luxembourg	46 Avenue JF Kennedy Luxembourg 1855 Luxembourg	Commission de Surveillance du Secteur Financier (CSSF)
Luxembourg	RBS International Limited, Luxembourg Branch	46 Avenue JF Kennedy Luxembourg 1855 Luxembourg	Commission de Surveillance du Secteur Financier (CSSF)
Malaysia	The Royal Bank of Scotland plc Labuan	No 1426, Unit Level 14(B) and 14(C), Main Office Tower, Financial Park Labuan Complex Jalan Merdeka 87000 Federal Territory of Labuan Malaysia	Labuan Financial Services Authority (LFSA)
Malaysia	The Royal Bank of Scotland Berhad	Level 9 Menara Maxis Kuala Lumpur City Centre 50088 Kuala Lumpur Malaysia	Bank Negara Malaysia
Netherlands	NatWest Markets N.V.	Claude Debussylaan 94 1082 MD Amsterdam Netherlands	European Central Bank, De Nederlandse Bank N.V. Netherlands Authority for the Financial Markets (Autoriteit Financiële Markten, AFM),
Netherlands	The Royal Bank of Scotland plc Netherlands	Gustav Mahlerlaan 350 1082 ME Amsterdam Netherlands	European Central Bank, De Nederlandse Bank N.V. Netherlands Authority for the Financial Markets (Autoriteit Financiële Markten, AFM),
Netherlands	RBS Hollandsche NV	Gustav Mahlerlaan 350 1082 ME Amsterdam Netherlands	De Nederlandse Bank N.V.

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Netherlands	National Westminster Bank plc (Netherlands)	Vijzelstraat 79 1017 HG Amsterdam Netherlands	European Central Bank, De Nederlandse Bank N.V. Netherlands Authority for the Financial Markets (Autoriteit Financiële Markten, AFM),
Norway	National Westminster Bank plc (Norway)	Klingenberggata 7A PO Box 2020 Vika 0125 Oslo Norway	Financial Supervisory Authority of Norway (FSAN) Central Bank of Norway
Poland	The Royal Bank of Scotland Bank (Polska) S.A.	Wiśniowy Business Park 1-go Sierpnia 8A Warsaw Poland	Polish Financial Supervision Authority (KNF)
Singapore	NatWest Markets Plc (Singapore)	Level 23, One Raffles Quay, South Tower Singapore 048583	Monetary Authority of Singapore (MAS)
South Korea	The Royal Bank of Scotland plc, Seoul Branch	7/F Seoul Finance Center, 136 Sejong-daero, Jung-gu, Seoul, 100-768 Korea	Financial Services Commission (FSC) Financial Supervisory Service (FSS) Ministry of Strategy and Finance Bank of Korea
South Korea	The Royal Bank of Scotland Asia Limited (Seoul) Branch	7/F Seoul Finance Center, 136 Sejong-daero, Jung-gu, Seoul, 100-768 Korea	Financial Services Commission (FSC) Financial Supervisory Service (FSS)
Spain	The Royal Bank of Scotland, plc Sucursal en España	José Ortega y Gasset 29 28006 Madrid Spain	Banco de España (BdE)
Spain	National Westminster Bank plc (Spain)	1st Floor Paseo de la Castellana, 60 28046 Madrid Spain	Banco de España (BdE)
Sweden	The Royal Bank of Scotland plc UK Nordic filial	Strandvägen 1, BOX 5324 Stockholm Sweden	Financial Supervisory Authority (Sweden) (Finansinspektionen, FI)
Sweden	National Westminster Bank plc (Sweden)	Strandvägen PO Box 5324 SE-102 47 Stockholm Sweden	Financial Supervisory Authority (Sweden) (Finansinspektionen, FI)

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Switzerland	Coutts & Co Ltd, Switzerland	Lerchenstrasse 24 P.O. Box 2921 Zurich Switzerland	Swiss Financial Market Supervisory Authority (FINMA - Eidgenössische Finanzmarktaufsicht)
Switzerland	Coutts & Co Ltd, Switzerland	Stauffacherstrasse 1 P.O. Box 8022 Zurich Switzerland	Swiss Financial Market Supervisory Authority (FINMA - Eidgenössische Finanzmarktaufsicht)
Switzerland	The Royal Bank of Scotland plc, Edinburgh, Zweigniederlassung Zürich The Royal Bank of Scotland plc, Edinburgh, Zurich Branch	Lerchenstrasse 24 P.O. Box 2921 Zurich Switzerland	Swiss Financial Market Supervisory Authority (FINMA - Eidgenössische Finanzmarktaufsicht)
Turkey	The Royal Bank of Scotland plc Head Office Edinburgh, Istanbul Main Branch	Tanburi Ali Efendi Sokak No. 13 34337 Etiler Istanbul Turkey	Banking Regulation and Supervision Agency of Turkey (BRSA) Central Bank of Turkey (Türkiye Cumhuriyet Merkez Bankası - TCMB) Financial Crimes Investigation Board (Mali Suçları Araştırma Kurulu - MASAK)
United Arab Emirates	The Royal Bank of Scotland plc, Dubai Branch	Downtown Burj Dubai Emaar Square, Building 2 Unit 03, Ground Floor PO Box 2567 Dubai United Arab Emirates	UAE Central Bank
United Arab Emirates	The Royal Bank of Scotland plc, Abu Dhabi Branch	235 Airport Road PO Box 2720 Abu Dhabi United Arab Emirates	UAE Central Bank
UK	Adam & Company Investment Management Ltd	25 St Andrew Square Edinburgh EH2 1AF	Financial Conduct Authority (FCA) Prudential Regulation Authority (PRA)
UK	National Westminster Bank plc, London	135 Bishopsgate London EC2M 3UR	Financial Conduct Authority (FCA) Prudential Regulation Authority (PRA)
UK	NatWest Markets plc	36 St Andrew Square, Edinburgh, Midlothian, EH2 2YB	Financial Conduct Authority (FCA) Prudential Regulation Authority (PRA)
UK	Coutts Finance Company	440 Strand London WC2R 0QS	Financial Conduct Authority (FCA)

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UK	Coutts & Co, London	440 Strand London WC2R 0QS	Financial Conduct Authority (FCA) Prudential Regulation Authority (PRA)
UK	NatWest Markets N.V., London	250 Bishopsgate London EC2M 4AA	Financial Conduct Authority (FCA) Prudential Regulation Authority (PRA)
UK	The Royal Bank of Scotland plc London	36 St Andrew Square, Edinburgh, Midlothian, EH2 2YB	Financial Conduct Authority (FCA) Prudential Regulation Authority (PRA)
UK	RBS Investment Executive Limited	280 Bishopsgate London EC2M 4RB	Financial Conduct Authority (FCA)
UK	RBS Asset Management (ACD) Ltd	440 Strand London WC2R 0QS	Financial Conduct Authority (FCA)
UK	The Royal Bank of Scotland Group Independent Financial Services Limited	4/F Trinity Quay 1 Avon Street Bristol BS2 2EB	Financial Conduct Authority (FCA)
UK	RBS Collective Investment Funds Limited	Gogarburn 175 Glasgow Road Edinburgh EH12 1HQ	Financial Conduct Authority (FCA)
UK	RBS International Limited, London Branch	280 Bishopsgate London EC2M 4RB	Financial Conduct Authority (FCA) Prudential Regulation Authority (PRA)
UK	Ulster Bank Limited	11-16 Donegall Square East, Belfast BT1 5UB	Financial Conduct Authority (FCA) Prudential Regulation Authority (PRA)
UK	Lombard North Central Plc	Cyan Building Adwick Park Rotheram S63 5AD	Financial Conduct Authority (FCA)
UK	Lombard Business Leasing Ltd	Cyan Building Adwick Park Rotheram S63 5AD	Financial Conduct Authority (FCA)

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UK	National Westminster Home Loans Limited	Gogarburn 175 Glasgow Road Edinburgh EH12 1HQ	Financial Conduct Authority (FCA)
USA	NatWest Markets Securities inc	600 Washington Boulevard Stamford, CT 06901 USA	Financial Industry Regulatory Authority (FINRA)
USA	RBS Financial Products Inc	600 Washington Boulevard Stamford, CT 06901 USA	Financial Industry Regulatory Authority (FINRA)