

## USA PATRIOT Act – List of RBS Group Entities

April 2019

Country	Entity	Address	Regulator
Finland	National Westminster Bank plc (Finland)	Eteläesplanadi 12, 4th floor, FI-00130 Helsinki, Finland	Financial Supervisory Authority (Finland)
France	National Westminster Bank plc (France)	32 rue de Monceau, 75008 Paris, France	Autorite de controle prudentiel et de résolution (ACPR) Banque De France Autorite des Marches Financiers (AMF)
France	NatWest Markets NV (France)	32 rue de Monceau, 75008 Paris, France	Autorite de controle prudentiel et de résolution (ACPR) Banque De France Autorite des Marches Financiers (AMF)
Germany	National Westminster Bank plc (Germany)	Roßmarkt 10, 60311 Frankfurt am Main, Germany	Federal Financial Supervisory Authority (BaFin - Bundesanstalt für Finanzdienstleistungsaufsicht),
Germany	NatWest Markets plc (Germany)	Rossmarkt 10, 60311 Frankfurt, Deutschland	Federal Financial Supervisory Authority (BaFin - Bundesanstalt für Finanzdienstleistungsaufsicht),
Germany	NatWest Markets NV (Germany)	Rossmarkt 10, 60311 Frankfurt, Deutschland	Federal Financial Supervisory Authority (BaFin - Bundesanstalt für Finanzdienstleistungsaufsicht),
Gibraltar	The Royal Bank of Scotland International Limited (Gibraltar)	National Westminster House, PO Box 707, 57 Line Wall Road, Gibraltar	Gibraltar Financial Services Commission
Guernsey	The Royal Bank of Scotland International Limited (Guernsey)	Royal Bank Place, 1 Gategny Esplanade, St Peter Port, Guernsey, GY1 3GB	Guernsey Financial Services Commission (GFSC)
Hong Kong	NatWest Markets plc (Hong Kong)	Unit 702, LHT Tower, 31 Queen's Road, Unit 702, Central Hong Kong	Hong Kong Monetary Authority (HKMA) Securities and Futures Commission (Hong Kong) (SFC)

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Hong Kong	NatWest Markets NV (Hong Kong)	Unit 702, LHT Tower, 31 Queen's Road, Unit 702, Central Hong Kong	Hong Kong Monetary Authority (HKMA) Securities and Futures Commission (Hong Kong) (SFC)
Hong Kong	Coutts & Company AG (Hong Kong)	Level 23, One Island East, 18 Westlands Road, Island East, Hong Kong	Hong Kong Monetary Authority (HKMA) Securities and Futures Commission (Hong Kong) (SFC)
India	The Royal Bank of Scotland plc (India)	Brady House, 14, Veer Nariman Road, Fort Mumbai - 400 023, India	Reserve Bank of India (RBI)
India	RBS Prime Services (India) Private Limited	2/14, Veer Nariman Road, Brady House 4th floor, Fort, Mumbai, India, 400001, India	Reserve Bank of India (RBI)
India	NatWest Markets NV (India)	7th Floor, 4 North Avenue, Maker Maxity, Bandra-Kurla Complex, Bandra (E), Mumbai, Maharashtra, 400051, India	Reserve Bank of India (RBI) Securities and Exchange Board of India (SEBI) Forward Markets Commission (India) (FMC) Insurance Regulatory and Development Authority (IRDA)
Isle of Man	Isle of Man Bank Limited	2 Athol Street, Douglas, Isle of Man, IM99 1AN	Isle of Man Financial Supervision Commission (FSC)
Isle of Man	The Royal Bank of Scotland International Limited (Isle of Man)	2 Athol Street, Douglas, Isle of Man, IM99 1AN	Isle of Man Financial Supervision Commission (FSC)
Italy	National Westminster Bank plc (Italy)	Via Zenale 9, 20123 Milan, Italy	Banca d'italia Consob Ufficio Italiano Cambi (U.I.C.)
Italy	NatWest Markets NV (Italy)	Via Zenale 9, 20123 Milan, Italy	Banca d'italia Consob Ufficio Italiano Cambi (U.I.C.)

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Japan	NatWest Markets Securities Japan Limited (Japan)	Shin-Marunouchi Center Building, 1-6-2 Marunouchi, Chiyoda-ku, Tokyo, Japan	Japan Financial Services Agency (JFSA)
Jersey	The Royal Bank of Scotland International Limited	Royal Bank House, 71 Bath Street, St Helier, Jersey, JE4 8PJ	Jersey Financial Services Commission
Luxembourg	NatWest Markets plc (Luxembourg)	46 Avenue, JF Kennedy, Luxembourg 1855, Luxembourg	Commission de Surveillance du Secteur Financier (CSSF)
Luxembourg	The Royal Bank of Scotland International Limited (Luxembourg)	46 Avenue, JF Kennedy, Luxembourg 1855, Luxembourg	Commission de Surveillance du Secteur Financier (CSSF)
Netherlands	NatWest Markets NV	Claude Debussylaan 94, 1082 MD Amsterdam, Netherlands	European Central Bank De Nederlandse Bank N.V. Netherlands Authority for the Financial Markets (Autoriteit Financiële Markten, AFM)
Netherlands	National Westminster Bank plc (Netherlands)	Vijzelstraat 79, 1017 HG Amsterdam, Netherlands	European Central Bank De Nederlandse Bank N.V. Netherlands Authority for the Financial Markets (Autoriteit Financiële Markten, AFM)
Norway	National Westminster Bank plc (Norway)	Klingenberggata 7A, PO Box 2020 Vika, 0125 Oslo, Norway	Financial Supervisory Authority of Norway (FSAN) Central Bank of Norway
Poland	RBS Bank (Polska) SA	Wiśniowy Business Park, 1-go Sierpnia 8A, Warsaw, Poland	Polish Financial Supervision Authority (KNF)
Republic of Ireland	Ulster Bank Ireland DAC	Ulster Bank Group Centre, George's Quay, Dublin 2, Ireland	European Central Bank Central Bank of Ireland

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Republic of Ireland	First Active Insurance Services Ltd	Ulster Bank Group Centre, George's Quay, Dublin 2, Ireland	Central Bank of Ireland
Republic of Ireland	RBS Asset Management (Dublin) Limited	One Dockland Central, Guild Street IFSC, Dublin 1, Ireland	Central Bank of Ireland
Republic of Ireland	NatWest Markets plc (Ireland)	Ulster Bank Group Centre, George's Quay, Dublin 2, Ireland	European Central Bank Central Bank of Ireland
Singapore	NatWest Markets plc (Singapore)	Level 23, One Raffles Quay, South Tower, Singapore 048583	Monetary Authority of Singapore (MAS)
Spain	National Westminster Bank plc (Spain)	1st Floor, Paseo de la Castellana, 6028046 Madrid, Spain	Banco de España (BdE)
Spain	NatWest Markets NV (Spain)	1st Floor, Paseo de la Castellana, 6028046 Madrid, Spain	Banco de España (BdE)
Sweden	National Westminster Bank plc (Sweden)	Strandvägen, PO Box 5324SE-102 47, Stockholm, Sweden	Financial Supervisory Authority (Sweden) (Finansinspektionen, FI)
Sweden	NatWest Markets NV (Sweden)	Strandvägen, PO Box 5324SE-102 47, Stockholm, Sweden	Financial Supervisory Authority (Sweden) (Finansinspektionen, FI)
Switzerland	Coutts & Company AG	Lerchenstrasse 18, Zurich, CH 8022, Switzerland	Swiss Financial Market Supervisory Authority (Eidgenössische Finanzmarktaufsicht FINMA)
Turkey	NatWest Markets plc (Turkey)	Tanburi Ali Efendi Sokak, No. 13 34337 Etiler, Istanbul, Turkey	Banking Regulation and Supervision Agency of Turkey (BRSA) Central Bank of Turkey (Türkiye Cumhuriyet Merkez Bankası - TCMB) Financial Crimes Investigation Board (Mali Suçları Araştırma Kurulu - MASAK)

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United Arab Emirates	NatWest Markets plc (UAE)	235 Airport Road, PO Box 2720, Abu Dhabi, United Arab Emirates	UAE Central Bank
United Kingdom	National Westminster Bank plc	250 Bishopsgate, London, UK, EC2M 4AA	Prudential Regulation Authority (PRA) Financial Conduct Authority (FCA)
United Kingdom	The Royal Bank of Scotland plc	36 St Andrew Square, Edinburgh, Scotland, EH2 2YB	Prudential Regulation Authority (PRA) Financial Conduct Authority (FCA)
United Kingdom	Ulster Bank Limited	11-16 Donegall Square East, Belfast, Northern Ireland, BT1 5UB	Prudential Regulation Authority (PRA) Financial Conduct Authority (FCA)
United Kingdom	Coutts & Company	440 Strand, London, UK, WC2R 0QS	Prudential Regulation Authority (PRA) Financial Conduct Authority (FCA)
United Kingdom	NatWest Markets Plc	36 St Andrew Square, Edinburgh, Scotland, EH2 2YB	Prudential Regulation Authority (PRA) Financial Conduct Authority (FCA)
United Kingdom	Coutts Finance Company	440 Strand, London, UK, WC2R 0QS	Financial Conduct Authority (FCA)
United Kingdom	RBS Investment Executive Limited	24-25 St Andrew Square, Edinburgh, Scotland, EH2 1AF	Financial Conduct Authority (FCA)
United Kingdom	Adam & Company Investment Management Ltd	24-25 St Andrew Square, Edinburgh, Scotland, EH2 1AF	Financial Conduct Authority (FCA)
United Kingdom	RBS Asset Management (ACD) Limited	440 Strand, London, UK, WC2R 0QS	Financial Conduct Authority (FCA)
United Kingdom	The Royal Bank of Scotland Group Independent Financial Services Limited	24-25 St Andrew Square, Edinburgh, Scotland, EH2 1AF	Financial Conduct Authority (FCA)

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United Kingdom	Lombard North Central plc	280 Bishopsgate, London, UK, EC2M 4RB	Financial Conduct Authority (FCA)
United Kingdom	Lombard Business Leasing Limited	280 Bishopsgate, London, UK, EC2M 4RB	Financial Conduct Authority (FCA)
United Kingdom	The Royal Bank of Scotland International Limited (UK)	280 Bishopsgate, London, UK, EC2M 4RB	Prudential Regulation Authority (PRA) Financial Conduct Authority (FCA)
United Kingdom	RBS Collective Investment Funds Limited	24-25 St Andrew Square, Edinburgh, Scotland, EH2 1AF	Financial Conduct Authority (FCA)
United Kingdom	NatWest Markets NV (UK)	250 Bishopsgate, London, UK, EC2M 4AA	Prudential Regulation Authority (PRA) Financial Conduct Authority (FCA)
United States of America	RBS Financial Products Inc.	600 Washington Boulevard, Stamford, CT 06901, USA	Financial Industry Regulatory Authority (FINRA) Securities and Exchange Commission (SEC)
United States of America	NatWest Markets Securities Inc.	600 Washington Boulevard, Stamford, CT 06901, USA	Securities and Exchange Commission (SEC) Financial Industry Regulatory Authority (FINRA) Commodities and Futures Trading Commission (CFTC) 49 US State Regulators
United States of America	NatWest Markets plc (Connecticut) Representative Office	600 Washington Boulevard, Stamford, CT 06901, USA	Federal Reserve Bank of New York State of Connecticut Department of Banking